



A **HUB International** Company

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William H. Phillips, CPFA®, CFP®

Profile

Executive Vice President, Creative Financial Strategies; Registered Principal, LPL Financial / Investment Advisory Representative, Global Retirement Partners; Partner, Creative Financial Strategies Consulting Services, LLC; Senior Vice President, Wealth Management, Retirement & Private Wealth, HUB International

Over 40 years of experience in the financial services industry practicing, consulting, supervising and teaching

Lectured nationally on the topics of Personal Financial Planning, Retirement Planning, Personal Growth and Financial Decision Making

Expertise in financial planning; retirement plans; retirement income positioning; wealth management; and financial decision making

Broker Dealer supervision expertise and responsibilities related to General Securities and Investment Advisory Activities; including investment suitability review and advice review. Supervision and Compliance responsibilities at the level of Principal; Office of Supervisory Jurisdiction (OSJ)

Education

CERTIFIED PLAN FIDUCIARY ADVISOR® (**CPFA®**)
National Association of Plan Advisors

CERTIFIED FINANCIAL PLANNER™ (**CFP®**)
Certified Financial Planner Board of Standards

Master of Science in Financial Planning
College for Financial Planning

Bachelor of Business Administration
Georgia State University

Financial Industry Regulatory Authority Registrations

FINRA General Securities Principal (Series 24)

FINRA General Securities Representative (Series 7)

FINRA Investment Company & Variable Contracts Principal (Series 26, Series 6)

Financial Industry Regulatory Authority Registrations (cont)

State Securities Law Exams

Uniform Securities Adviser Law Examination (Series 65)

Uniform Securities Agent State Law Examination (Series 63)

State Agent Registrations: AL, FL, GA, IN, KS, ME, NC, PA, SC, TN, VA

Affiliations

Financial Planning Association, South Carolina Chapter and National Chapter

South Carolina Chapter Current Member

South Carolina Chapter Former Chairman

South Carolina Chapter Former President

South Carolina Chapter Former Board Member

Resident License through South Carolina Department of Insurance

Non-Resident Licenses through Florida Department of Financial Services, Georgia Insurance Department, North Carolina Department of Insurance, Kansas Department of Insurance, and Virginia Bureau of Insurance

Facilitating Financial Decisions Across America

Securities offered through LPL Financial, Member FINRA/SIPC. Investment advice offered through Global Retirement Partners, LLC (GRP), dba Creative Financial Strategies, an SEC registered investment advisor. Insurance services offered through HUB International. GRP, Creative Financial Strategies, HUB Retirement and Wealth Management and HUB International are separate entities from and not affiliated with LPL Financial.